RECEIVED

BEST AVAILABLE COPYFEB 0 1 2005 NO. 2204

TRANSMITTAL			Application Number		10/664,876		
			Filing Date		September 22,	:003	
FORM (to be used for all correspondence after initial filing)			First Named Inventor		Hiroshi SHIBA	[A et al.	
In of mento, my consequences des			Group Art Unit		2813		
			Examiner Name	Thanh T. Ngu	en en		
					740756-2625		
Total Number of Pages in This Submission			RES (check all that apply)				
	Immunication to Group						
Fee Transmittal Form	i		nent Papers Application)		Appeal Communic		
Fee Attached		Drawin			Appeals and Interf		
Amendment / Reply	·		ation and Power of Attorney		Appeal Communi	ply Brief)	
After Final			Licensing-related Papers Petition		Proprietary Inform	ation	
Affidavits/declaration(s)		I = " ·			Status Letter Application Data	hect	
Extension of Time Request		Petition	to Convert to a Provisional		Request for Cone	ted Filing Receipt with	
		ì — ··	of Attorney, Revocation		Enclosures	repaid postcard for	
Express Abandonment Request		Change of Correspondence Address			acknowledging In	;cipt	
Information Disclosure Statement			al Disclaimer	🗆	Other Enclosure() (please identify below):	
Certified Copy of Priority Document(s)			st for Refund				
Response to Missing Parts/ Incomplete Application		CD, Number of CD(s)					
Response to Missing Parts						:	
under 37 CFR 1.52 or 1.53		1]				
		Remarks	The Commissioner in required or credit any over above identified docket to	rge any additional fees ount No. 19-2380 for the			
Firm	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Luan C. Do, Reg. No. 38,434						
or Individual name	abody LLP treet, N.W.				:		
]) ,						
	top, D.C. 2	0004-2128	·				
Signature	'	12					
Date	Februar	2005					
CER	TIFICATI	OF MAIL	ing or transmissi	ON	[37 CFR 1.8(a)]		
I hereby certify that this con	responden	ice is being:			_		
deposited with the class mail in an example deposited with the class mail in the class m	nvelope ad	ldressed to:	Service on the date show Mail Stop,	wn b Com	elow with suffic missioner for F:	ent postage as first tents, P. O. Box 1450	
AICXMIUITO, VA 2	idemark Office at						
transmitted by facsimile on the date shown below to the United States Patent and T (703) 872-9306						de	
February 1, 2005 Date							
Date	·						
1	ė						

BEST AVAILABLE COPY

A BIONATT	~m ∧ τ	Application Number	10/664,876			
TRANSMITTAL FORM (to be used for all correspondence after tritial filling)		Filing Date	September 22,	(003		
		First Named Inventor	Hiroshi SHIBA	ra et al.		
		Group Art Unit	2813			
		Examiner Name Thanh T. Ngu		en		
Total Number of Pages in This Submission		Attorney Docket Number	740756-2625			
Total Number of Pages in Tany Co.		URES (check all that apply)				
rea		nment Papers		Ommunication to Group		
Fee Transmittal Form		n Application) ing(s) ration and Power of Attorney	Appeal Commun. Appeals and long	stion to Board of		
Fee Attached	I <u> </u>		Appeal Commun	i stion to Group		
Amendment / Reply	\ <u> </u>		(Appent Notice, Brief, Proprietary Infor			
After Final	\=	nsing-related Papers	Status Letter			
Affidavits/declaration(s)	Peti	tion to Convert to a Provisional	Application Detr			
Extension of Time Request		lication	Request for Con Enclosures	e ;ted Filing Receipt with		
Express Abandonment Request		ver of Attorney, Revocation age of Correspondence Address	A self-addresser	epaid postcard for a scipt		
Information Disclosure Statem	esst 🗖 Ter	minal Disclaimer	Other Enclosure	() (please identify below):		
Certified Copy of Priority Document(s)		uest for Refund				
Response to Missing Parts/	[□ св	Number of CD(s)		•		
Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53	3					
						
F			age any additional fees			
ŀ		required or credit may over above identified docket u	o cunt No. 19-2380 for the			
Firm	Luan C. Do. Reg.	PPLICANT, ATTORNEY, No. 38.434				
or Individual name	Nixon Peabody LI	P				
	401 9th Street, N.V Suite 900	/. -	•			
	Washington, D.C.	20004-2128	·			
Signature	W					
Date	February 1 2005					
		LING OR TRANSMISSI	ON [37 CFR 1.8(a)]]'		
I hereby certify that this con	respondence is bein	g:				
deposited with the class mail in an endexendria, VA 2	nvelope addressed t	al Service on the date shown: Mail Stop	vn below with suffic Commissioner for F	cient postage as first tents, P. O. Box 1450		
transmitted by fa	esimile on the date s	hown below to the United	States Patent and T	ademark Office at		
(703) <u>872-9306</u>						
Tebruary 1, 2005 Date Signature						
Deborah Movahhei						
1		I	Abor of himmorman			

BEST AVAILABLE COPY

FEB. 1. 2005 6:40PM 866 741 0075 NO. 2204 Complete if Known 10/664,876 Application Number FEE TRANSMITTAL September 22, 2003 Filing Date First Named Inventor Hiroshi SHIBATA et al. **FOR FY 2005** Thanh T. Nguyen Examiner Name Patent fees are subject to annual revision-Art Unit 2813 Applicant claims small entity status. See 37 CFR 1.27 Attorney Docket No. 740756-2625 TOTAL AMOUNT OF PAYMENT FEE CALCULATION (com i med) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES ☐ Check ☐ Credit Card ☐ Money Other I None Order Large Eatity Small Entity Deposit Account Fee Descripto n Fee Deposit (5) 19-2380 [740756-2625] Code (5) Accoun Surcharge - late filing fee or o th 1051 130 2051 65 Number Surcharge - late provisional fil ng fee or cover 25 2052 1052 50 130 Non-English specification 1053 130 1053 Deposit Account Nixon Peabody LLP For filing a request for ex part recommination 2,520 1812 2,520 1812 Requesting publication of SIA prior to Examiner 1804 920* 1804 920* The Commissioner is authorized to: (check all that apply) Requesting publication of SIF after Examiner 1805 1.840* 1.8404 1805 Credit any overpayments Charge fee(s) indicated below Extension for reply within fire amonth 60 120 2251 1251 Charge any additional fee(s) Extension for reply within an and month 2252 225 1252 450 Charge fee(3) indicated below, except for the filling fee Extension for reply within this demonth 2253 510 to the above-identified deposit account. 1253 1.020 Extension for reply within fir rth month 1254 1.590 2254 795 FEE CALCULATION Extension for reply within f 1 h month 1,080 2255 1255 2.160 1. BASIC FILING FEE 2401 250 Notice of Appeal 500 1401 Small Entity Large Entity Fee Description Filing a brief in support of #4 appeal 2402 250 500 1402 Fee Paid Code (\$) Code **(S)** Request for oral hearing 500 2403 1403 1.000 Petition to institute a public t se proceeding 1451 1.510 1451 1,510 Unitity filing fee 2001 150 1001 300 Petition to revive - unavoids ile 1452 500 2452 250 100 Design filing for 200 2002 1002 Petition to revive - unintenti anal 1453 1.500 2453 750 2003 100 Plant filing fee 1003 200 1501 1,400 2501 700 Utility issue fee (or reissue) Reissue filing fee 150 2004 1004 300 Design issue fee 600 2502 400 1502 Provisional filing fee 200 2005 100 1005 2503 550 Plant issue fee 1503 1,100 Petitions to the Commission of 1460 130 1460 130 SUBTOTAL (I) **(\$)** 0 Processing fee under 37 Cl7 . 1.17(q) 1907 50 1807 Submission of Information Pisclosure Strat 1806 180 EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1806 180 Recording each patent assig unem per property 8021 40 8021 40 Fee from (times number of propertie Total Claims 24 20** 7 04 X 50 Fee Pald Filing a submission after fo d rejection 790 2809 395 1809 200 (37 CFR 1.129(a)) For each additional invents a to be examined 395 1810 790 2810 Independent 4 -3** = 01 X 200 (37 CFR 1.129(b)) Request for Continued Exa sinstion (RCE) 2801 395 1801 **- [0** Multiple Dependent Request for expedited exac inction of a design 900 1802 1802 Large Entity Small Entity *polication Fee Description Code (5) Code **(\$)** Other fee (apecify) Claims in excess of 20 2202 25 1202 50 Independent claims in excess of 3 2201 100 1201 SUBTOTA((3) (\$) 0 Reduced by Basic Filing Fee Paid Multiple dependent claim, if not paid 180 2203 1203 360 CERTIFICATE OF MAILING OR TRANSA ISSION [37 CFR 1.8(a)] •• Reissuc independent claims over 2204 100 1204 200 I hereby certify that this correspondence is being: ** Reissuc claims in excess of 20 and 2205 deposited with the United States Postal Service to the date shown below with sufficient 1205 50 over original patent postage as first class mail in an envelope addnet ed to: Mail Stop Commissioner for Patents, P. O. Box 1450, Ale andria, VA 22313-1450 SUBTOTAL (2) (\$)400 transmitted by facsimile on the date shown be's w to the United States Patent and **or mumber previously paid, if greater; For Reissues, see above Trademark Office at (703) (703) 872-9305 DC DENTAL February 1, 2005 Deborah Moyahhedi yped or printed name Complete (⁽applicable) SUBMITTED BY (202) 585-8000 Registration No. 38,434 /Do Telephono Luan (Allorney/Agent) Name (Print/Type) Date February 1, 2005 Signature

> SEND TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

BEST AVAILABLE COPY

Attorney Docket No. 740756-2625

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)

RECEIVED CENTRAL FAX CENTER FEB 0 1 2005

In re Patent Application of:

Hiroshi SHIBATA et al.

Application No. 10/664,876

Filed: September 22, 2003

For: SEMICONDUCTOR DEVICE AND

METHOD OF MANUFACTURING

THEREOF

) Group Art Unit: 2813

) Examiner: Thanh T. Ngryen

) Confirmation No. 4226

) Date: February 1, 2005

CERTIFICATE OF MAILING OR TRANS MISSION [37 CFR 1.8(a)]

I hereby certify that this correspondents is being facsimile transmitted to the USPTD at 12011472/9316 on February 1, 2005.

Signature: LOVA

Name: Deborah Movahhedi

AMENDMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed November 1, 2004, please consider the amendments and remarks in connection with the above-identified application as follows.

W633568.1